Dynamics of the electroreflective response of TaS$_3$

R. C. Rai and J. W. Brill

Department of Physics and Astronomy, University of Kentucky, Lexington, Kentucky 40506-0055, USA

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We have observed a large (~1%) change in infrared reflectance of the charge-density-wave (CDW) conductor, orthorhombic TaS$_3$, when its CDW is depinned. The change is concentrated near one current contact. Assuming that the change in reflectance is proportional to the degree of CDW polarization, we have studied the dynamics of CDW repolarization through position-dependent measurements of the optical properties. We have observed a large (~1%) change in reflectance of blue bronze. The characteristic repolarization time observed in Ref. 8, but detailed measurements of the frequency dependence of the electrotransmittance of blue bronze varied with voltage generally as expected from its electrotransmittance. In particular, the electroreflectance spectra were dominated by phonon, rather than quasiparticle, changes. Consequently the changes were small, with relative changes in reflectance, $|\Delta R/R| \sim 0.1\%$ for transversely polarized light, and a few times smaller for parallel polarized. Consequently, the sign of the electroreflectance change

interest in quasi-one-dimensional conductors with sliding charge-density waves (CDW's)1,2 has continued for almost three decades because of the variety of unusual properties they exhibit. In the CDW ground state, a periodic lattice distortion is accompanied by a modulated electron density: $n = n_0 + n_1 \cos(Qz + \varphi(z,t))$, where $z$ is the direction of the conducting chains and $Q$ is the CDW wave vector, $n_1$ its amplitude, and $\varphi$ its local phase. Because CDW pinning results from the competition between deformations of the CDW (i.e., variations of $n_1$ and $\varphi$) and its interactions with impurities, CDW's are also model systems for studying the effects of quenched disorder on a deformable periodic medium.3

When a voltage greater than its depinning threshold is applied, the CDW can slide through the sample, carrying current.2 At the same time, the CDW becomes elastically strained, i.e., its phase varies throughout the sample so that the CDW is compressed near one current contact and rarefied at the other,3,4 and much of the recent interest in these materials has been focused on spatially resolved measurements of CDW properties.1 The strain ($Q^{-1} \partial \varphi/\partial z$) near a current contact is required to drive the phase-slip process needed for current conversion, i.e., to allow electrons to enter and leave the CDW condensate at the contacts,4,7 while the smaller strain near the center of the sample reflects the shift in the chemical potential due to imperfect screening by the uncondensed (or thermally excited) quasiparticles.5 The latter polarization can be frozen into sample for long times when the current is removed, as its relaxation requires the climb of CDW phase dislocations.3,5 Studies of the dynamics of CDW polarization from an unpolarized state6 have hence been hindered by this metastable behavior in the pinned state.

On the other hand, if the applied voltage is reversed above threshold, the CDW strain also (approximately) reverses4,7 and the CDW changes between two states of dynamic equilibrium. Hence, transport measurements with an ac amplitude larger than threshold could, in principle, be used to study the dynamics of CDW “repolarization.” In NbSe$_3$, which stays metallic in its CDW state,3 this repolarization time was found to be surprisingly long ($\sim 10\ mu$s)4 and inductive behavior was observed for frequencies near 1 MHz.9 Longer times are expected in semiconducting CDW materials, but we are not aware of any such measurements. However, in semiconducting blue bronze, K$_{0.3}$MoO$_3$,2 the initial CDW polarization (i.e., from an unpolarized state) was found to obey a stretched exponential time dependence; the characteristic polarization time, presumably $\sim 50\%$ of the repolarization time, was found to be an activated function of voltage, $\tau \exp V_p/V$, with a typical time constant $\sim 0.1$ ms for temperatures near 80 K and voltages slightly above threshold.5

In measurements of the infrared transmittance of blue bronze, we found that, for photon energies below the CDW gap, the transmittance6 varied spatially in a sample when a voltage above threshold is applied, with maximum changes (~1%) adjacent to the contacts. The spatial dependence suggested that the relative change in transmittance was proportional to the CDW strain, and we initially suggested that the transmission changes resulted from changes in the intraband absorption of quasiparticles, whose density changed to screen the strains.6,10 In subsequent measurements, we found that the phonon spectrum was also affected by the applied voltage,11 but these changes also seemed to be proportional to the CDW strain. Hence, if an alternating square wave voltage, $\pm V(\Omega)$ was applied to the sample, the frequency ($\Omega$), voltage, and position dependence of the CDW repolarization could be studied through measurement of changes in the optical properties. Indeed, the characteristic repolarization time we observed,6 $\sim 0.1$ ms was similar to the pristine polarization time observed in Ref. 8, but detailed measurements of the frequency dependence of the electrotransmitance of blue bronze were not made.

In subsequent work,12 we showed that the reflectance ($R$) of blue bronze varied with voltage generally as expected from its electrotransmittance. In particular, the electroreflectance spectra were dominated by phonon, rather than quasiparticle, changes. Consequently the changes were small, with relative changes in reflectance, $|\Delta R/R| \sim 0.1\%$ for transversely polarized light, and a few times smaller for parallel polarized. Consequently, the sign of the reflectance change

\[ V_p = \frac{1}{2\pi f_0} \ln \left( \frac{V}{V_0} \right) \]

\[ t = \frac{1}{0.1\%} \]

\[ |\Delta R/R| \sim 0.1\% \]

\[ \tau \exp V_p/V \]

\[ \sim 0.1\ ms \]

\[ \Omega \]

\[ V(\Omega) \]

\[ \pm V(\Omega) \]

\[ |\Delta R/R| \sim 0.1\% \]

\[ V_p \]

\[ V_0 \]

\[ |\Delta R/R| \sim 0.1\% \]

\[ \sim 0.1\ ms \]

\[ \Omega \]

\[ V(\Omega) \]

\[ \pm V(\Omega) \]
(at a given position and voltage) varied as the wavelength was tuned through phonon lines. However, the dependence of \( \Delta R/R \) (for a given wavelength) on position was slightly different than for the electrotransmittance, in that the magnitude of the electroreflectance signal decreased (and, for low voltages and frequencies, the signal became inverted) near the contacts; these differences suggested that the CDW strain was affected by the sample surface, perhaps due to the placement of the contacts.

In this paper, we discuss the electroreflectance of the related semiconducting CDW compound, orthorhombic TaS\(_3\).\(^{11,12}\) We have observed that, for polarizations both parallel and transverse to the conducting chains, \( |\Delta R/R| \sim 0.1\% \), an order of magnitude larger than for blue bronze, with typical time constants also a few times larger than for blue bronze (at the same temperature).\(^{12}\) We have studied the voltage-frequency-position dependence of the electroreflectance signal, and, assuming that the response is proportional to the local CDW strain, discuss its implications on the dynamics of CDW repolarization.

Orthorhombic TaS\(_3\) undergoes a CDW transition into a semiconducting state at \( T_c = 220 \text{ K} \).\(^{2,13}\) As grown crystals, with typical dimensions \( 2 \times 0.02 \times 0.005 \text{ mm}^3 \), were cleaned with acetone and alcohol and mounted with silver paint to span a 1 mm hole in a sapphire ring. Gold films were evaporated at the ends of the sample to serve as current contacts, with the films extending slightly into the hole so that the effective length of the sample between the films was \( \sim 0.8 \text{ mm} \). The samples were placed in a commercial infrared microscope\(^{12}\) operating in a reflectance mode. Tunable diode lasers\(^{11,12}\) were used as light sources. For the measurements discussed in this paper, the light spot was focused to a spot \( \sim 50 \mu \text{m} \) long along the length of the sample and slightly wider than the sample; light that missed the sides of the crystal went into the hole in the substrate and therefore was not reflected back to the detector.

Symmetric bipolar square-wave voltages (\( \pm V \)) were applied to the sample while the light was chopped at an incommensurate frequency. The response of the mercury cadmium telluride detector in the microscope was measured with two lock-in amplifiers operating at the square-wave (\( \Omega \)) and chopping (\( \omega \)) frequencies; the relative change in reflectance is given by ratio of the lock-in signals, \( S \),\(^{12}\)

\[
S(\Omega) = S(\omega) = \frac{\Delta R/R}{[R(+V) - R(-V)]R_{ave}},
\]

where \( R_{ave} \) is the average reflectance. For these dynamics studies, the in-phase and quadrature components of \( S(\Omega) \) were measured separately, requiring determination of the frequency dependence of the electronics. The phase shift of the microscope detector amplifier could only be determined to a precision of \( \sim 2^\circ \); this precision was adequate at most frequencies, but led to relatively large uncertainties in the quadrature component of the electroreflectance signal at low frequencies (e.g., \(<100 \text{ Hz}\)), where the quadrature component is much smaller than the in-phase component (e.g., see Fig. 2, below).

All measurements were made with the sample holder at \( T \sim 77 \text{ K} \); the sample, in vacuum, may have been a few degrees warmer than this in general, and an additional few degrees warmer for measurement at high voltage.

In principle, since measurements were made with symmetric square-wave voltages, there should not have been an oscillating signal due to Joule heating of the sample, but CDW materials often have slightly unequal responses to positive and negative currents, so there may have been a small thermal signal for frequencies below the thermal response rate (\( \sim 100 \text{ Hz}\)). However, since the electroreflectance response for bipolar square waves was always much larger than we observed for unipolar square waves (e.g., see Ref. 12 for similar results in blue bronze), the thermal component to the signals is considered negligible.

Although samples were chosen for having visually flat surfaces, we typically found that the reflectance signal varied considerably as we scanned the light along the sample, suggesting that the reflectance was affected by unseen steps and/or facets (perhaps causing diffraction as well as misdirecting the light). Similarly, we were not able to take meaningful spectra with our lasers. However, these effects should not affect the relative change in reflectance, as determined by Eq. (1). When studying a new sample, we scanned the spectrum for wavelengths at which the electroreflectance could be measured with a high signal/noise ratio. (As discussed in Refs. 11 and 12, there was considerable, variable noise due to mechanical vibrations of the helium refrigerator cooling the lasers). All the data shown below are for parallel polarized light, but no significant differences (with caveats noted later) were observed for transversely polarized light.

The inset in Fig. 1 shows the square-wave voltage dependence at \( \Omega/2\pi = 253 \text{ Hz} \) of \( \Delta R/R \) for sample 1, measured with light of energy \( \nu = 860 \text{ cm}^{-1} \) focused adjacent to a cur-

![Fig. 1. Spatial dependence of the in-phase (upper panel) and quadrature (lower panel) response of the reflectance (R) to 253 Hz square waves of magnitudes 60, 95, and 150 mV for sample 1 with light of energy \( \nu = 860 \text{ cm}^{-1} \). The right (x \( \sim 800 \mu \text{m} \)) contact is grounded and the voltage applied to the left (x \( \sim 0 \)) contact, so that (noting the minus sign on the ordinate) the reflectance decreases for large voltages on the side of the sample which is positive. The inset shows the voltage dependence of the reflectance at the left contact.](image-url)
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FIG. 2. Frequency dependence of the in-phase (upper panel) and quadrature (lower panel) electroreflectance for 65, 95, 150, and 235 mV square waves for sample 1, with light (λ=860 cm⁻¹) incident adjacent to the left contact (see Fig. 1). The curves show fits to Eq. (2).

rent contact. (Note, as indicated by the minus sign on the ordinate, also for Figs. 2 and 4, that at this wavelength the reflectance decreases near the positive contact.) The onset voltage of the electro-optical signal, \( V_{\text{onset}} = 40 \) mV, is presumably the threshold at which the CDW becomes depinned within the crystal.\(^{10}\) The threshold for nonlinear current is higher (i.e., \( V_T = 70 \) mV), and is the voltage at which the CDW is depinned at the contacts; the difference is the “phase-slip voltage.”\(^{4,2}\)

The spatial dependence at 253 Hz at a few voltages is shown in the main part of Fig. 1. Note that, unlike for blue bronze, the spatial profile is very asymmetric, with the response much larger on one side of the sample. Similar asymmetry was observed for all six samples studied, although for one sample the response was quite symmetric on its initial cool down and only became asymmetric with subsequent coolings. This result suggests that the CDW polarization, either because of differences in the electrical contacts or defects in the sample, tends to pile up on one side of the sample. Note that the electroreflectance signal is a very irregular function of position on the other side of the sample (i.e., for \( x > 250 \) μm), and even again changes sign near the \( x \sim 800 \) μm contact for small voltages; this inversion is similar to what is observed for blue bronze,\(^{12}\) as mentioned above.

Figure 2 shows the frequency dependence at \( x = 0 \) for this sample at a few voltages, for frequencies between 25 Hz and 4 kHz. As expected, the average relaxation time, estimated from the reciprocal of the frequency of the peak in the quadrature response (and shoulder in the in-phase response) decreases as the voltage increases.\(^{3}\) An unexpected feature, however, is that the in-phase response becomes inverted for frequencies greater than \( \sim 1 \) kHz, indicative of surprisingly large inertia in repolarization. In contrast, the inductive response in NbSe\(_3\), corresponding to the inverted in-phase response, was only observed for frequencies near 1 MHz.\(^9\) The curves show fits to the modified damped oscillator equation

\[
\Delta R/R = \Delta R/R_0 [1 - (\Omega/\Omega_0)^2 + (-\Omega/\tau_0)^\gamma].
\]  

In analogy to the Cole-Cole generalization of simple relaxation,\(^{15}\) we have introduced the exponent \( \gamma \); values of \( \gamma < 1 \) imply a distribution of relaxation times.\(^{16}\)

The voltage dependence of the parameters of the fit, for light at two locations each for two samples, are shown in Fig. 3. In each case, \( \tau_0 \alpha V^{-\gamma} \), with \( 1 \leq p \leq 3/2 \), much weaker than the exponential voltage dependence in blue bronze found from transport measurements mentioned above.\(^8\) There is no indication of \( \tau_0 \) diverging at the depinning threshold, as expected for a dynamic critical model of depinning,\(^{17}\) but while data were taken down to the nonlinear threshold \( V_T \), we have not yet been able to approach the “bulk threshold” \( V_{\text{melt}} \). However, what is observed in most cases is that the exponent \( \gamma \) decreases at small voltages, indicating a broadening of the distribution of relaxation times. While there is no clear voltage dependence to the resonant frequency, its typical value of \( \Omega_0/2\pi \sim 1 \) kHz suggests that there is \( \sim 100 \) μs delay between the applied voltage and the electroreflective response.

For both samples, the relaxation time 100 μm from the contact is greater than at the contact. This is consistent with previous results\(^{4,6}\) and suggests that the relaxation is “local”, i.e., driven by the local CDW strain, which is greatest at the contact. In addition, except for low voltages at which the \( \tau_0 \) distribution broadens, the resonant frequency is smaller away from the contact (i.e., the inertia is greater) than at the contact.

The “repolarization” times (and inertia) are much greater than those associated with the dielectric constant, i.e., small amplitude oscillations of the CDW about its pinned position (at \( T \sim 80 \) K, the dielectric time constant \( \sim 1 \) μs\(^{18,19}\)), because polarization and repolarization involve large, nonlocal changes in the CDW phase.\(^{8,9}\) Indeed, the average dielectric relaxation time was observed to increase with increasing voltage even for voltages well below threshold.\(^{18}\) On the other hand, the repolarization times shown in Fig. 3 are much smaller than the time constants governing how the CDW responds to elastic strains of the crystal; the elastic time constants were measured to be \( \sim 10 \) ms at \( 2 V_T \) and diverged at threshold.\(^{20}\) This difference might seem surprising, since both the repolarization and “elastic” time constants are related to long length scale deformations of the CDW. That the elastic time constant is greater therefore suggests that changes in the elastic constants caused by CDW depinning, believed to be due to the effect of the strain on the optimum local CDW phase,\(^{20,21}\) require changes of the CDW on an even longer length scale than repolarization. (Another possible reason for the difference is that the elastic
measurements averaged over the entire sample length, whereas we measured the reflectance changes near the contacts, where repolarization is fastest.)

Figure 4 shows direct time traces, measured with a digital oscilloscope, of the change in reflectance of sample 1 in response to applied square-wave voltages. As for Fig. 3, measurements were made for light adjacent to the contact (center panels) and 100 μm positions (bottom panels). Each trace in the figure represents the average of 500 time sweeps, with time normalized to the square-wave period. Also shown (the top panels) is the resulting current; the current overshoot, due to CDW repolarization after reversing the voltage, is shown by arrows in the current traces. Figure 4(a) shows the responses to 253 Hz square waves of different magnitudes. The decrease in the relaxation time with increasing voltage is clear in the ΔR traces, but in all cases this time is much longer than the “current overshoot” time; in fact, as has been pointed out in Refs. 4 and 10, the current estimated from the overshoot is only a small fraction of the repolarization current.

The center panel of Fig. 4(a) shows that, when the current changes from negative to positive, the reflectance adjacent to the contact has a delay of ~100 μs before it starts changing abruptly. This is seen more clearly in Figure 4(b), at which the responses to 200 mV square waves at different frequencies are shown. Note that away from the contact (lower panels), while there is still a comparable delay in ΔR, the onset of the increase in reflectance is much broader (i.e., less abrupt). Also note that the delay is several times shorter when the current is changing from positive to negative. While the magnitude of the CDW polarization has previously been found to be polarity dependent, for example the magnitude of the polarization has been observed to be larger on the negative side of the sample in TaS₃ at lower temperatures, our results indicate different dynamic responses for the two polarities. This dynamic polarity dependence suggests that Schottky barriers at the contacts may contribute to the surprisingly large inertia. If this is so, perhaps different barriers on the two contacts are responsible for the very asymmetric spatial dependence. However, since the penetration depth of light at these frequencies is ~1000 Å, such a strong contact dependence is surprising. In future work, we will investigate samples with different contacts.

In fact, the delayed response of the reflectance as compared to the current suggests that our underlying assumption, that $\Delta R/R \propto \partial \phi / \partial z$, may require qualification, since the current overshoot indicates that the CDW polarization is “undelayed.” The difference may reflect the fact that the reflectance and current are probing different fractions of the sample cross section. For example, the current may follow a path with the shortest delays, whereas the electroreflectance averages over the penetration depth of the crystal. (Since the electroreflectance will average over the whole sample thickness, it may also have a different time dependence; as mentioned above, differences in the spatial dependence of the changes in transmittance and reflectance were previously noted in blue bronze.)

To some extent, the abrupt but delayed change in reflectance adjacent to the contact resembles the delays in CDW conduction, typically ~1 ms, that have been observed in samples exhibiting “switching,” i.e., hysteretic discontinuous changes in current, but the resemblance may be superficial. The switching delays were associated with the time needed for the CDW polarization to build up to the value needed for phase slip, but in our case, with nonswitching samples, no delay was observed in the current change (including the overshoot).

Our measurements suggest that the electro-optic response can be used to measure the temporal/spatial dependence of changes in CDW polarization without adding multiple contacts, which tend to strongly perturb the local fields, especially in semiconducting CDW materials such as TaS₃ and blue bronze. Furthermore, once the spectrum is known, the variation of the polarization with depth in the sample can be measured by comparison of the response at different wavelengths and polarizations or, as mentioned above, by com-

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**FIG. 3.** Voltage dependence of the parameters of Eq. (2). Closed symbols: sample 1 (measured at 860 cm⁻¹); open symbols: sample 2 (measured at 695 cm⁻¹). Circles: light focused adjacent to current contact; triangles: light focused 100 μm from this contact. The dotted lines show the onset voltages ($V_{onset} = 40$ mV for both samples) and thresholds for nonlinear current ($V_T = 70$ mV for sample 1 and 100 mV for sample 2). The dashed line in the upper panel indicates $\gamma = V^{3/2}$ behavior for reference. (The symbol with the arrow indicates a fit for which the resonant frequency was effectively infinite, i.e., $\gg 4$ kHz.)

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comparison of the electroreflectance and electrotransmittance. However, we note two caveats. (i) In all the measurements discussed above, the light spot filled the sample width, so that we averaged over this transverse dimension. In cases when a more narrow light spot was used, we frequently observed large differences in $D_R$ as the spot was scanned across the sample width, in some cases even changing sign. Similarly, large local changes in the chemical potential, implying local polarizations, varying across the sample width were measured with a scanning electron microscope, presumably reflecting the sensitivity of the CDW to grain boundaries and surface features prevalent in even visually smooth samples of TaS$_3$.

(ii) For some cases, the measured frequency dependence of $D_R/R$ did not obey Eq. (2), which was introduced above simply to parameterize results for the two samples studied most extensively. In particular, in some cases high-frequency inversion was not observed (i.e., $\Omega_0/2\pi > 4$ kHz), and in others the peak in the quadrature component of $D_R/R$ was much less symmetric than given by this equation.

It is interesting to speculate on reasons for the differences in the electroreflective responses of TaS$_3$ and blue bronze. The order of magnitude greater response in TaS$_3$ suggests either that the CDW polarization is much greater in TaS$_3$ or that it has a much larger CDW-phonon interaction; quantifying these will require analyses and comparisons of their (temperature dependent) electro-optical spectra. The irregular spatial variation of $D_R/R$, as compared to its very linear variation in blue bronze, suggests that there are much larger fluctuations in the spatial distribution of impurities, responsible for the collective pinning of the CDW, in TaS$_3$ than in blue bronze. In fact, from a comparison of their low-temperature dielectric properties, it was concluded that the phase-coherence length of the CDW was much smaller in TaS$_3$, which exhibits a “fragile glass” transition and a strong secondary dielectric loss peak at low temperatures.

In summary, we have shown that, like the related CDW compound blue bronze, orthorhombic TaS$_3$ exhibits a spatially dependent electro-optical response, and have presented results of measurements of the voltage, frequency, and spatial dependence of its electroreflectance. We have found that the electroreflective response is much less symmetric than for blue bronze, suggesting that the CDW polarization collects near one contact, and near this contact the response is much larger and slower than for blue bronze. The frequency dependence of the response indicates that there is considerable inertia, which is also observable as an unusual polarity-dependent delay in the change in reflectance. We have fit the frequency dependence of $D_R$ to a modified damped harmonic oscillator response, and find that the relaxation time varies as $t_0 \propto V^{-p}$, with $p$ between 1 and 1.5, that the distribution of relaxation times increases at low voltages, and that the relaxation time increases away from the current contacts.

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